

Vanguard[®]

Application Form | 10 September 2019

Vanguard[®] Wholesale Funds

This application form is issued by Vanguard Investments Australia Ltd ABN 72 072 881 086, AFSL 227263 (Vanguard).

This application form is intended for

Investor type

Complete sections

UNREGULATED TRUSTS (including family trusts, discretionary trusts, testamentary trusts or foundations)

- **Individual Trustees**

Sections 1, 2, 4 to 11

- **Corporate Trustees**

Sections 1, 3, 4 to 11

For other investor types, please visit the Vanguard website at www.vanguard.com.au/pds for the relevant application form.

Need help?

If you need any help completing this form or would like any further information please call Client Services on 1300 655 102, 8:00am to 6:00pm, Monday to Friday (Melbourne time).

Guide to investing

How to invest

1. Read the Product Disclosure Statement (PDS) for the fund.
2. Read the important information in the Vanguard Wholesale Funds Reference Guide.
3. Read and complete all relevant sections of this Application Form.
4. Read and provide a wet ink signature for the declaration in the Application Form.
5. Attach certified copies of your supporting identification and documents, including any power of attorney authorisations.
6. Lodge your Application Form together with your supporting identification and documents. We recommend that you keep copies for future reference.

Relevant law

Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF)

Australian anti-money laundering and counter-terrorism financing laws oblige Vanguard to ask for supporting identification documents from prospective investors in order to meet our obligations under Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth) laws. These laws apply to all fund managers in Australia.

By investing in a fund, you agree that:

- you do not apply for an interest in the fund under an assumed name;
- any money invested by you in the fund is not derived from or related to any criminal activities;
- any proceeds from your investment in the fund will not be used in relation to any criminal activities;
- if we ask, you will provide us with additional information we reasonably require for the purposes of AML/CTF laws (including information about you, any beneficial interest in the fund, or the source of funds);
- we may obtain information about you or any beneficial owner of an interest in the fund from third parties if we believe this is necessary to comply with AML/CTF laws; and
- in order to comply with AML/CTF laws we may be required to take action, including:
 - delaying or refusing the processing of any application or withdrawal; or
 - disclosing information that we hold about you (or any holder of a beneficial interest in the fund) to our related bodies corporate or service providers, or relevant regulators of AML/CTF laws (whether in or outside of Australia).

Politically Exposed Persons (PEPs)

AML/CTF laws oblige Vanguard to ask you whether you are a PEP, a family member of a PEP or a close associate of a PEP.

PEP	A PEP is an individual who holds a prominent public position or function in a government body or an international organisation, including: Head of State or head of a country or government, government minister or equivalent senior politician, senior government official, judge of a federal or state court of Australia, or equivalent in a foreign country or international organisation, governor of a central bank or any other position that has comparable influence to the Governor of the Reserve Bank of Australia, senior foreign representative, ambassador, or high commissioner, high-ranking member of the armed forces, or board chair, chief executive, or chief financial officer of, or any other position that has comparable influence in, any State enterprise or international organisation.
Family member of a PEP	A family member of a PEP includes the PEP's parents, spouse, de facto partner, a child and a child's spouse or de facto partner.
Close associate of a PEP	A person who is known to be a close associate of a PEP (having regard to information that is public or readily available) includes anyone who has joint beneficial ownership of a legal entity or legal arrangement, or sole beneficial ownership of a legal entity or legal arrangement that is known to exist for the benefit of a PEP.

US Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)

FATCA is a US law which impacts investors worldwide. FATCA attempts to minimise US income tax avoidance by US persons investing in foreign assets, including through their investments in foreign financial institutions. FATCA requires reporting of US persons' direct and indirect ownership of non-US accounts and non-US entities to the US Internal Revenue Service (IRS).

Similarly, the Organisation for Economic Cooperation and Development (OECD) has established a reporting regime (CRS), which requires participating jurisdictions to obtain information from their financial institutions and exchange it with other participating jurisdictions as of 1 July 2017.

Under FATCA, the Australian Government has entered into an Inter-Governmental Agreement (IGA) with the Government of the United States of America for reciprocal exchange of taxpayer information. Under the IGA, financial institutions operating in Australia report information to the Australian Taxation Office (ATO) rather than the IRS. The ATO may then pass the information on to the IRS.

The Fund may request such information or documents from you as is necessary to verify your identity and FATCA and CRS status, including self-certification forms. The Fund may disclose this information to the IRS or ATO (who may share this information with other tax authorities) as necessary to comply with FATCA, the IGA, CRS or applicable implementing law or regulation, which may include information about:

- Investors identified as US citizens or tax residents (information about corporations and trusts with US substantial owners or controlling persons will also be reported)
- All other investors identified as non-residents for CRS purposes (including non-resident controlling persons of certain entities)
- Investors who do not confirm their FATCA or CRS status
- Certain financial institutions that do not meet their FATCA obligations (non-participating foreign financial institutions)

Vanguard is not able to provide tax advice and strongly encourages investors to seek the advice of an experienced tax adviser to determine what actions investors may need to take in order to comply with FATCA and CRS.

Privacy notification

Vanguard is committed to respecting your privacy and complying with laws regulating how organisations deal with personal information. This notification sets out important matters relating to how we handle personal information and how you can gain access to it.

Why is Vanguard collecting your personal information?

Vanguard collects personal information for the following purposes:

- to establish and administer investment accounts;
- to provide products and services, including purchasing and selling assets on behalf of investors;
- for communication purposes;
- to provide market commentary and information about products and services that may be of interest;
- to conduct analysis about products and services to enhance our service offerings;
- to protect legal rights and comply with our legal obligations including record-keeping, reporting, tax withholding, and client identification requirements under the following legislation Corporations Act 2001 (Cth), Income Tax Assessment Act 1997 and 1936 (Cth), AML/CTF Act 2006 (Cth), Privacy Act 1988 (Cth) and FATCA and CRS or related Australian legislation implemented to facilitate compliance with FATCA and CRS; and
- to protect against fraud or abuse and to maintain the integrity of our business systems and infrastructure.

We may also use your personal information for related purposes which would reasonably be expected

Who may we disclose your information to?

We may rely on other members of its corporate group or third parties to assist us in providing our services. If we disclose your personal information to other organisations we will require that they handle information in a way that complies with the *Privacy Act 1988* (Cth).

We may disclose personal information to the following types of organisations:

- organisations involved in providing, managing or administering our products, systems or services such as administrators, mail houses and software and information technology providers;
- auditors, consultants and other professional advisers;
- authorised financial advisers;
- a representative who may be entitled to instruct on, or receive, the proceeds from a deceased investor's account balance (for example a Legal Personal Representative, executor, or administrator) or any person we contact to assist us in that process;
- other financial institutions who hold an account in an investor's name, for example, where amounts have been transferred to or from that account;
- market research companies who undertake research on behalf of Vanguard; and
- agencies who run competitions or promotions on the Vanguard website.

In addition, Vanguard may disclose your personal information to regulatory bodies or government entities as required or authorised by law including the Australian Securities and Investments Commission (ASIC), Australian Tax Office (ATO) and the Australian Transaction Reports and Analysis Centre (AUSTRAC). For example, information may be requested by authorities investigating alleged fraudulent or suspicious transactions in relation to an investor's account.

What happens if you don't provide Vanguard with your personal information?

If you do not provide all of the personal information requested by Vanguard, we may not be able to process an application, provide services to you or provide you with information about our products and services. If you do not provide the information about your FATCA and CRS status, we may be required to provide your information to the ATO.

Overseas disclosure

Like other large international organisations, Vanguard Investments Australia Ltd may disclose your personal information to members of the Vanguard group based outside of Australia, for example to utilise information technology infrastructure. We may also outsource certain processes to foreign third parties to assist us in providing services to you.

To find out more about Vanguard's approach to managing personal information, including a list of the countries we may send your personal information to, accessing and correcting your information, how you can register a complaint with us, and how you can expect us to deal with your complaint, please see the online version of our Privacy Policy at www.vanguard.com.au/retail/ret/privacypolicy.jsp.

Contact us

If you have any further questions relating to this privacy notification, wish to request access to personal information we hold about you, or have concerns about the way in which we have handled your personal information, please do not hesitate to contact us:

Mail	Vanguard Investments Australia Ltd GPO Box 3006 Melbourne VIC 3001	Phone	1300 655 102 (8:00am–6:00pm, Monday to Friday, Melbourne time)
		Fax	1300 765 712
		Email	clientservices@vanguard.com.au

Vanguard Wholesale Funds Application Form

Do you want to create a new investment account with Vanguard?

Important: If you are an existing investor, you are not required to open a new investment account to invest in a new Fund. To add a new fund to your existing account, please refer to the latest PDS and submit an Additional Investment Form.

Yes → please complete ALL relevant sections in this application.

Vanguard Investments Australia Ltd
Reply Paid 3006
Melbourne VIC 8060

Vanguard Wholesale Funds

1 Unregulated trust

Important: Please complete ALL sections to avoid delays with processing your application.

1.1 Type of trust Family trust Discretionary trust Testamentary trust Foundation
 Other, please specify →

1.2 Was this trust formed/incorporated in Australia?

Yes No →

Address

Suburb

State Postcode

Country

Foreign registration number

1.3 Full legal name of trust (NOT the trustee)

ABN/ARBN/ACN

1.4 Please state the business type or activity of the trust

1.5 What date was the trust established?

(DD-MM-YYYY)

a) As at this date, did the trust have an asset value of AUD\$10,000 or more?

Yes No

AND

b) Do you have a continuing relationship with the settlor of the trust?

Yes No

If you answered "Yes" to both questions (1.5a & 1.5b) please provide the full name, date of birth and residential address (must NOT be a PO Box) for the settlor of the trust.

! Please note, in section 11 of this form you may be asked to provide additional tax information for the Settlor / Controlling Person.

Date of birth (DD-MM-YYYY)

First name

Surname

Address

Suburb

State Postcode

Country

1 Unregulated trust (Continued)

Important: Please complete ALL sections to avoid delays with processing your application.

1.6 a) Beneficiaries / Controlling persons

! Please note, in section 11 of this form, you may be asked to provide additional tax information in your capacity as a Beneficiary/Controlling Person.

Please provide the full name, date of birth and residential address (must NOT be a PO Box) for each Beneficiary/Controlling Person of the trust and indicate whether they are entitled to 25% or more of the trust property or assets. Please attach a separate page if you require more space.

Beneficiary / Controlling person Entitled to 25% or more of trust property or assets.

Date of birth (DD-MM-YYYY)

Name

Address

Suburb

State Postcode

Country

Beneficiary / Controlling person Entitled to 25% or more of trust property or assets.

Date of birth (DD-MM-YYYY)

Name

Address

Suburb

State Postcode

Country

b) Are there any beneficiaries by class or category?

No Yes → Please describe the class or category below (e.g. Family member, Employee of a particular company ...)

1.7 Appointer – Controlling person

! Please note, in section 11 of this form, you may be asked to provide additional tax information in your capacity as an Appointer/Controlling Person.

Does the trust have an appointer? (an individual who has been granted specific powers by the trust deed e.g. *the power to appoint or remove trustees*)

No Yes →

Date of birth (DD-MM-YYYY)

Name

Address

Suburb

State Postcode

Country

2 Individual trustees (Complete this section if the trust has individuals acting as trustee)

Important: Please complete ALL sections to avoid delays with processing your application. Please attach a separate page if you have additional trustees.

2.1 Trustee 1

Title	<input type="text"/>
Given name/s	<input type="text"/>
Surname	<input type="text"/>
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (DD-MM-YYYY)
Occupation	<input type="text"/>

2.2 Full residential address (must NOT be a PO Box)

Address	<input type="text"/>
Suburb	<input type="text"/>
State	<input type="text"/> Postcode <input type="text"/>
Country	<input type="text"/>

2.3 Postal address (if different from 2.2)

Address	<input type="text"/>
Suburb	<input type="text"/>
State	<input type="text"/> Postcode <input type="text"/>
Country	<input type="text"/>

2.4 Contact details

! Please note, you must provide an email address to be eligible for an online account and to view statements.

Home phone	(<input type="text"/>) <input type="text"/> <input type="text"/> <input type="text"/>
Mobile phone	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Business phone	(<input type="text"/>) <input type="text"/> <input type="text"/> <input type="text"/>

Email address

w

2.5 Trustee 2

Title	<input type="text"/>
Given name/s	<input type="text"/>
Surname	<input type="text"/>
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (DD-MM-YYYY)
Occupation	<input type="text"/>

2.6 Full residential address (must NOT be a PO Box)

Address	<input type="text"/>
Suburb	<input type="text"/>
State	<input type="text"/> Postcode <input type="text"/>
Country	<input type="text"/>

2.7 Contact details

! Please note, you must provide an email address to be eligible for an online account and to view statements.

Home phone	(<input type="text"/>) <input type="text"/> <input type="text"/> <input type="text"/>
Mobile phone	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Business phone	(<input type="text"/>) <input type="text"/> <input type="text"/> <input type="text"/>

Email address

3 Corporate trustee (Complete this section if the trust has a company acting as trustee)

Important: Please complete ALL sections to avoid delays with processing your application.

3.1	Full legal name of corporate trustee	<input type="text"/>
		<input type="text"/>
		<input type="text"/>
	ABN/ARBN/ACN	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Business name	<input type="text"/>
		<input type="text"/>
	Business type	<input type="text"/>

3.2 Was this corporate trustee formed/incorporated in Australia?

<input type="checkbox"/> Yes	<input type="checkbox"/> No →	Country	<input type="text"/>
		Foreign registration number	<input type="text"/>

3.3 Full registered address (must NOT be a PO Box)

Unit and/or street number	<input type="text"/>
Street name	<input type="text"/>
Suburb	<input type="text"/>
State	<input type="text"/> Postcode <input type="text"/>
Country	<input type="text"/>

3.4 Principal place of business (must NOT be a PO Box) (if different from 3.3)

Unit and/or street number	<input type="text"/>
Street name	<input type="text"/>
Suburb	<input type="text"/>
State	<input type="text"/> Postcode <input type="text"/>
Country	<input type="text"/>

3.5 Postal address (if different from 3.3)

Alternate mailing name	<input type="text"/>
Unit and/or street number	<input type="text"/>
Street name	<input type="text"/>
Suburb	<input type="text"/>
State	<input type="text"/> Postcode <input type="text"/>
Country	<input type="text"/>

3.6 Contact details

! Please note, you must provide an email address to be eligible for an online account and to view statements.

Title	<input type="text"/>
Given name/s	<input type="text"/>
Surname	<input type="text"/>
Business phone	(<input type="text"/>) <input type="text"/> <input type="text"/>
Mobile phone	<input type="text"/> <input type="text"/> <input type="text"/>

Email address	<input type="text"/>
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3 Corporate trustee (Complete this section if the trust has a company acting as trustee) (Continued)

Important: Please complete ALL sections to avoid delays with processing your application.

3.7 Is the **corporate trustee** a public listed company, a majority owned subsidiary of a public listed company or licensed and subject to federal, state or territory government regulatory oversight?

Yes → Please proceed to **section 4**. No → Please provide the following information at **both a) and b)** below:

a) If a proprietary/private company – please provide the full name and date of birth of each director. Please attach a separate page if you require more space.

Director 1 Name

Date of birth (DD-MM-YYYY)

Director 2 Name

Date of birth (DD-MM-YYYY)

Director 3 Name

Date of birth (DD-MM-YYYY)

Director 4 Name

Date of birth (DD-MM-YYYY)

b) Please provide the following details for all beneficial **(controlling)** persons of the company. Indicate whether they own 25% or more of the issued shares in the company either directly or indirectly **(control – beneficial owner)**, or have the ability to vote 25% or more of the shares in the company **(control – other means)**, or exercise control over the daily activities of the company such as Senior Managing Official **(control – senior managing official)**. Please attach a separate page if you require more space.

! Please note, in section 11 of this form, you may be asked to provide additional tax information in your capacity as a Controlling Person.

Beneficial person / Controlling person

Control - Beneficial owner Control - Other means Control - Senior managing official

Date of birth (DD-MM-YYYY)

Name

Address

Suburb

State Postcode

Country

Beneficial person / Controlling person

Control - Beneficial owner Control - Other means Control - Senior managing official

Date of birth (DD-MM-YYYY)

Name

Address

Suburb

State Postcode

Country

4 Investment selection

Important: Initial investment applications must be for a minimum of **\$500,000** per fund. Amount to be invested must be in Australian dollars unless indicated otherwise. Vanguard will automatically reinvest your distribution in units of your chosen fund if you do not make a selection between “reinvest distributions” and “credit bank account”. If you select to “credit bank account” for your distributions, please provide your bank details in section 6.

Fund name	Amount to be invested	Reinvest distributions (please tick ✓)	Credit bank account (please tick ✓)
Vanguard Cash Reserve Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Cash Plus Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Government Bond Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Fixed Interest Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Corporate Fixed Interest Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Inflation-Linked Bond Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Fixed Interest Index Fund (Hedged)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Credit Securities Index Fund (Hedged)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Diversified Bond Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Aggregate Bond Index Fund (Hedged)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) – AUD Hedged	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) – NZD Hedged	NZ\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Infrastructure Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Infrastructure Index Fund (Hedged)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Property Securities Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Property Securities Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Property Securities Index Fund (Hedged)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Shares Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Shares High Yield Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Index Fund (Hedged) – AUD Class	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Select Exclusions Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Select Exclusions Index Fund – AUD Hedged	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Select Exclusions Index Fund – NZD Hedged	NZ\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious International Shares Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious International Shares Index Fund – NZD Hedged	NZ\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Small Companies Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Small Companies Index Fund (Hedged)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Emerging Markets Shares Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Conservative Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Balanced Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Growth Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Continue next page

4 Investment selection (Continued)

Fund name	Amount to be invested	Reinvest distributions (please tick ✓)	Credit bank account (please tick ✓)
Vanguard High Growth Index Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Minimum Volatility Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Value Equity Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Multi-Factor Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Active Global Growth Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Active Emerging Market Equity Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Managed Payout Fund	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

5 Initial investment funding (Please tick ✓ one option)

Initial investments via BPAY® or Direct Credit

Once your investment account has been established, Vanguard will forward an email to you with details on how to complete your initial investment. Please note that funds must be received within 14 days after receipt of the email.

Please indicate how you would like to make your initial investment:

- BPAY® → Please ensure that you have provided your email address, and Client Services will send you further details.
- Direct credit → Please ensure that you have provided your email address, and Client Services will send you further details.
- Cheque → Please make your cheque payable to "Vanguard Wholesale Funds".

6 Banking instructions

Please provide Australian bank account details **associated with the trust**.

! Note: This information **must** be provided so that Vanguard can make payments to you. Your account will **not be debited**.

BSB number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Account number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Account name	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Name of financial institution	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

7 Annual reports

The annual reports will be made available on www.vanguard.com.au. We will notify you when this occurs, unless you request below that you do not want to be notified. If you would like a physical copy (provided free of charge) please contact Client Services.

- I/We do **not** want to be notified of the availability of the annual report.

Note: The annual report is **not** your annual tax statement.

8 Direct marketing

- Please do not give my/our personal details to market research companies conducting research for Vanguard.
- Please do not send me/us Vanguard marketing and/or educational material.

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11 Additional tax information

11.1 FATCA/CRS status – Trust

This section is part of the identification requirements relating to FATCA/CRS. For more information please refer to the Vanguard website at www.vanguard.com.au/FATCA_CRS.

! All applicants must complete **Part (a) and Part (b)**.

a) Select ONE of the following categories for the Trust and provide the information requested

If you do not provide the information about the Trust’s tax status, we may be required to provide information to the ATO.

A Financial Institution
(A custodial or depository institution, an investment entity or a specified insurance company for FATCA/CRS purposes)

Provide the Trust’s Global Intermediary Identification Number (GIIN), if applicable

OR If the Trust does not have a GIIN, please provide its FATCA status (please select ONE option)

Deemed Compliant Financial Institution Excepted Financial Institution US Financial Institution
 Exempt Beneficial Owner Non-participating Financial Institution

Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction* and managed by another Financial Institution?

Yes (please complete part (b) below and all following sections) No (please complete part (b) below and proceed to section 12) * CRS Participating Jurisdictions are on the OECD website at <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction/>

An Active Non-Financial Entity (NFE) (please select ONE option)

Australian Registered Charity
 Foreign Charity
 Other (please specify)

AND for any Trust that is an Active NFE, please also provide a response to the below question:

Is the entity exempt from FATCA reporting? (please complete part (b) below and proceed to section 12)

Yes No

Passive Non-Financial Entity (please complete part (b) below and all following sections)

Deceased Estate (please complete part (b) below and proceed to section 12)

b) Tax Identification Number (TIN)

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B, or C).

- Reason A** The country of tax residency does not issue TINs (or equivalent number) to its residents
- Reason B** The Trust is unable to obtain a TIN (or equivalent number)
- Reason C** The country of tax residency does not mandate provision of the TIN (no TIN is required)

Is the Trust a tax resident of Australia? No Yes

Is the Trust a tax resident of a country other than Australia? No Yes → (please provide the Trust’s TIN or equivalent below)

Note: Please attach a separate sheet if the Trust is a tax resident of more than two other countries.

Country 1	<input type="text"/>	
TIN	<input type="text"/>	→ If no TIN, please list reason (A, B or C) <input type="checkbox"/>
Country 2	<input type="text"/>	
TIN	<input type="text"/>	→ If no TIN, please list reason (A, B or C) <input type="checkbox"/>

If you selected Reason B for any Country, please explain why you are unable to obtain a TIN in the box provided below.

Country 1 reason:

Country 2 reason:

11 Additional tax information (Continued)

11.2 FATCA/CRS status – Controlling Person

Tax residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person’s residence or place of work. For the US, tax residency can also be as a result of citizenship or residency.

! Please answer both tax residency questions. If you do not provide this information, we may not be able to process your application.

a) Corporate Trustee – Controlling Person

Are any of the Controlling Persons of the Corporate Trustee tax residents of countries other than Australia?

Yes → (please provide the requested details below) No

Controlling Person	Full name	<input type="text"/>
	Role <i>(e.g. Director, Beneficiary etc)</i>	<input type="text"/>
Controlling Person	Full name	<input type="text"/>
	Role <i>(e.g. Director, Beneficiary etc)</i>	<input type="text"/>

! Please attach a separate page if you require more space.

b) Trust – Controlling Person

Are any of the Controlling Persons of the Trust tax residents of countries other than Australia?

Yes → (please provide the requested details below) No

Controlling Person	Full name	<input type="text"/>
	Role <i>(e.g. Trustee, Settlor, Beneficiary etc)</i>	<input type="text"/>
Controlling Person	Full name	<input type="text"/>
	Role <i>(e.g. Trustee, Settlor, Beneficiary etc)</i>	<input type="text"/>

! Please attach a separate page if you require more space.

11.3 Tax Identification Number (TIN) – Controlling person

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B, or C).

Reason A The country of tax residency does not issue TINs (or equivalent number) to its residents

Reason B The Trust is unable to obtain a TIN (or equivalent number)

Reason C The country of tax residency does not mandate provision of the TIN (no TIN is required)

For each Controlling Person as specified in section 11.2, please provide the TIN or equivalent below. If the Controlling Person(s) are a tax resident of more than one other country, please list all relevant countries.

Controlling person	Full name	<input type="text"/>
	Country	<input type="text"/>
	TIN	<input type="text"/> → If no TIN, please list reason (A, B or C) <input type="checkbox"/>
If you selected Reason B for any Country, please explain why you are unable to obtain a TIN in the box provided below.		
Reason	<input type="text"/>	
Controlling person	Full name	<input type="text"/>
	Country	<input type="text"/>
	TIN	<input type="text"/> → If no TIN, please list reason (A, B or C) <input type="checkbox"/>
If you selected Reason B for any Country, please explain why you are unable to obtain a TIN in the box provided below.		
Reason	<input type="text"/>	

! Please attach a separate page if you require more space.



12 Declarations and signatures

- I/We have received and read the Product Disclosure Statement (PDS) for the Vanguard Wholesale Fund/s to which this application applies together with the other important information taken to form part of the PDS and agree to be bound by the constitution of the Vanguard Wholesale Fund/s to which this application applies (and as amended from time to time); and declare all details given in this application are true and correct.
- I/We declare that in making a decision to invest the only information and representations provided by Vanguard are those contained in the PDS to which this application applies together with the other important information taken to form part of the PDS.
- I/We declare and warrant that I/we are not a US Person as defined under Regulation S of the US federal securities laws and will not hold units for or on behalf of US Persons.
- I/We declare that I/we have the capacity and power to make an investment in accordance with the application.
- If signed under power of attorney, the attorney verifies that no notice of revocation of that power has been received.
- I/We acknowledge that joint applicants or signatories who allow either investors or signatories to give instructions in relation to an investment in the Vanguard Wholesale Funds will bind other investors or signatories for all transactions in connection with the investment including changes to account details.
- I/We understand that Vanguard may request or require additional personal or investor information in order to fulfil legislative obligations. Failure to supply the information punctually may result in Vanguard being prevented by law from carrying out instructions.
- I/We understand and agree that, even if all information requested on this application form has been provided and received by Vanguard prior to the cut-off time for receiving instructions, the processing of my/our application may be postponed or delayed while Vanguard verifies and considers information, in which case my/our application will be processed at the unit price applicable for the business day as at which all information has been received and verified.
- I/We understand that Vanguard will not be familiar with, and is not responsible for being familiar with, the contents of any document that I/we provide in connection with this investment or subsequent investments, for example, trust deeds, partnership agreements, constitutions, governing rules and minutes of resolutions. I/We release Vanguard from all responsibility and liability whatsoever in connection with any action or inaction by Vanguard which constitutes or gives rise to an inconsistency with, or breach of, any such documents, and will indemnify Vanguard in respect of any such liability.
- I/We understand that none of The Vanguard Group, Inc. (including Vanguard Investments Australia Ltd) or their related entities, directors or officers guarantees the performance of, the repayment of capital, or income invested in, the Vanguard Wholesale Funds.
- I/We acknowledge and agree that the Vanguard Wholesale Funds may invest in other Vanguard funds and that Vanguard will derive and retain remuneration from those other Vanguard funds.
- I/We agree to reimburse and indemnify Vanguard for all taxes, duties and charges imposed against Vanguard or its agents that may be assessed against Vanguard as a result of my/our entitlement to the capital or distributable income (Taxation Amount) of the Vanguard Wholesale Fund/s to which this application applies.
- I/We authorise Vanguard to deduct from my/our income distributions payable from the Vanguard Wholesale Fund/s, on account of the Taxation Amount which Vanguard is or may become liable to pay in respect of my/our entitlement to the capital or distributable income of the Vanguard Wholesale Fund/s to which this application applies.
- I/We have read the Privacy Policy referred to in the PDS for the Vanguard Wholesale Fund/s to which this application applies and I/we consent to the handling and disclosure of my personal information as described in the Privacy Policy. If purchasing through an adviser or broker, I/we authorise Vanguard to disclose to the adviser or broker or dealer group noted on this Application Form, information relating to my/our application for investment into the Vanguard Wholesale Fund/s to which this application applies or any subsequent information relating to my/our investment. I/We understand that this will not include disclosure of my/our Tax File Number/s or any information in relation to it/them. This authority will continue unless revoked in writing by me/us.
- I/We agree to receive the PDS and other important information that is taken to form part of the PDS relating to my/our investment/s in the Vanguard Wholesale Fund/s to which this application applies, electronically via email, or on a website designated by Vanguard, or other electronic delivery method. (You can request a printed copy of the PDS or any other important information that is taken to form part of the PDS at any time, at no cost.)
- I/We acknowledge that it is a condition of using the BPAY and switching facility and making additional investments via an Additional Investment Form that I/we obtain and read the current PDS for the Vanguard Wholesale Fund/s together with the other important information taken to form part of the PDS prior to making each and every investment in the Vanguard Wholesale Fund/s to which the investment applies.
- If using this investment in the fund/s for a Significant Investor Visa (if applicable), I/we have received a managed fund declaration form (Form) from Vanguard and acknowledge and understand the terms and conditions that apply to use of the Form and the use of a Vanguard Wholesale Fund/s investment for a Significant Investor Visa.
- I/We acknowledge that withdrawal proceeds may be paid by cheque if my/our nominated bank account does not match the details previously provided.

12 Declarations and signatures (Continued)

12.1 Investor signatures

Each signatory below confirms that they have been duly authorised to execute this application on behalf of the investor(s) and that the signing authorities have also been duly authorised.

Signatory 1	 Please provide an original wet ink signature. We will not accept stamped or photocopied signatures.										
Name	<input type="text"/>										
Date signed	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	(DD-MM-YYYY)
Signatory 2	 Please provide an original wet ink signature. We will not accept stamped or photocopied signatures.										
Name	<input type="text"/>										
Date signed	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	(DD-MM-YYYY)

12.2 Politically Exposed Persons (PEPs)

A PEP is an individual who holds a prominent public position or function in a government body or an international organisation. Please refer to the section "Relevant law" at the beginning of this Application Form for further information on PEPs. Please attach a separate page if you require more space.

Is anyone named anywhere on this Application Form a PEP, a family member of a PEP or a close associate of a PEP?

No
 Yes →
 Name(s)

12.3 Significant Investor Visa

Please tick if this investment will be used for a Significant Investor Visa.

12.4 Account signing authorities (please tick ✓ one option)

Please indicate below who can give us instructions in relation to your investment. If you do not tick one of the options below, all instructions must be signed by all authorised signatories, or as otherwise permitted by law. You must provide a certified copy of identification for all authorised signatories.

- | | |
|--|---|
| <input type="checkbox"/> Signatory 1 ONLY | <input type="checkbox"/> Allow other authorised signatories
(please complete the Authorised Representative form at www.vanguard.com.au/forms to submit with this application OR provide a certified copy of an authorised signatories list) |
| <input type="checkbox"/> Signatory 2 ONLY | |
| <input type="checkbox"/> Signatory 1 AND 2 | |
| <input type="checkbox"/> Either Signatory 1 OR 2 | <input type="checkbox"/> Power of attorney
(please submit a certified copy of the power of attorney and identification documents required for an individual) |



Checklist

Before you submit your application, please ensure:

- You have signed and dated the declaration.
- You have indicated the account signing authorities.
- You have attached originally certified copies of identification as specified in the "Forms of identification required" section.
- You have indicated your FATCA/CRS status.
- The bank account details provided are in the name of the Trust.

Forms of identification required

This section outlines the types of identification documents that we will collect from you. We will usually collect these documents before processing your application, but may request additional information at a later date. If you do not provide the documents we request, we may not be able to process your application. Alternative forms of identification may be acceptable under circumstances permitted by law. Non-English documents must be accompanied with a translation prepared by an accredited translator.

Identification required for the trust

Investor type	Type of ID to submit	ID requirements
Family trust, Discretionary trust or Foundation	Trust deed & ID for trustee(s)	<input type="checkbox"/> An originally certified copy of the trust deed and either of the following for the trustee: <input type="checkbox"/> ID for the first named individual trustee on this form, or <input type="checkbox"/> ID for the corporate trustee.

Investor type	Type of ID to submit	ID requirements
Testamentary trust	Grant of probate & Extract of will & ID for trustee(s)	<input type="checkbox"/> An originally certified copy of the grant of probate or letters of Administration and <input type="checkbox"/> An originally certified copy of an extract of the trust deed, containing at least the following information: <ul style="list-style-type: none"> • Full name of executors/trustees appointed, • The section(s) of the will that creates and/or names the trust, • Name of the will maker (if any), • Street address of the will maker (if any), • The signatories page(s). and either of the following for the trustee: <input type="checkbox"/> ID for the first named individual trustee on this form, or <input type="checkbox"/> ID for the corporate trustee.

Identification required for the trustee(s)

Investor type	Type of ID to submit	ID requirements
Individual trustee (select one option)	Drivers licence	<input type="checkbox"/> An originally certified copy of an Australian drivers licence (front and back), displaying your current residential address.
	Passport & Utility bill	<input type="checkbox"/> An originally certified copy of your current passport and <input type="checkbox"/> An originally certified copy of a utilities bill (gas, water or electricity), issued in the last 3 months, displaying your name and the residential address stated on this Application Form.
	Passport & ATO notice	<input type="checkbox"/> An originally certified copy of your current passport and <input type="checkbox"/> An originally certified copy of an ATO notice issued to you within the last 12 months, displaying your name and the residential address stated on this Application Form.
Corporate trustee (select one option)	Company statement	<input type="checkbox"/> A copy of the latest company annual statement issued by ASIC, displaying the company's name, registration number, registered office and any beneficial owners.
	Company extract	<input type="checkbox"/> A copy of the company search on the ASIC database, displaying the company's name, registration number, registered office and any beneficiaries.

Certification requirements

The certification of your documents must be completed in Australia by a person in one of the positions below and who is not related to you (i.e. a parent, spouse, de facto partner or child).

When having copies of documents certified, you should show both the original document and copy to the eligible certifier. For a more detailed list of eligible certifiers, please refer to the Certification Guide on our website at www.vanguard.com.au or contact Client Services.

Eligible Certifiers

- Lawyer
- Accountant
- Police Officer
- Pharmacist
- Post Office
- Justice of the Peace

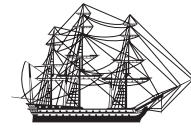
Document type	Sample wording	Certification requirements
Single page	I, [full name], certify that this is a true and correct copy of the original.	<ul style="list-style-type: none"> • Certified within the last 12 months • Signed and dated • Includes name, occupation and any licence number of the certifier
Multiple page document (with certification on every page)	I, [full name], certify that this is a true and correct copy of the original.	
Multiple page document (with certification on front page only)	I, [full name], certify that this page and the following 'x pages' are a true and correct copy of the original.	

How to submit your application

Your original Application Form, supporting identification documents, and cheque (if applicable) must be posted to or lodged directly at the Vanguard office. **Please note that faxed or email copies will not be processed.**

<p>Please mail your application to:</p> <p>Vanguard Investments Australia Ltd Reply Paid 3006 Melbourne VIC 8060</p>	<p>Office address:</p> <p>Vanguard Investments Australia Ltd Level 34, Freshwater Place 2 Southbank Boulevard Southbank VIC 3006</p>
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Vanguard®

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Connect with Vanguard®

The responsible entity

Vanguard Investments Australia Ltd

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Melbourne Vic 3001

Vanguard Client Services

8:00 am to 6:00 pm (Melbourne time)
Monday to Friday

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Facsimile: 1300 765 712

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